

2654  
#14/C

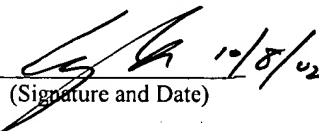
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: ROGER S. ZIMMERMAN ET AL  
SERIAL NO.: 09/311,333 EXAMINER: D.D. KNEPPER  
FILED: MAY 13, 1999 ART UNIT: 2654  
FOR: IN-THE-FIELD ADAPTATION OF A LARGE VOCABULARY  
AUTOMATIC SPEECH RECOGNIZER

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on October 8, 2002.

Steve Cha, Reg. No. 44,069  
(Name of Registered Rep.)

  
(Signature and Date)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

RECEIVED  
OCT 17 2002  
Technology Center 2600

Dear Sir:

Prior to calculation of the filing fee and examination, please amend the above-identified application as follows:

IN THE CLAIMS:

Please add new claim 17 and amend the following claims:

- 04
1. A method of improving the recognition accuracy of a speech recognizer, comprising the steps of:



CPA/2700  
#13

[ ] Continuation  
[ ] Divisional  
[X] CPA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Date: OCT. 14, 2002  
Docket No. PHA 23,960  
Prior Art Unit: 2654  
Prior Ex. D.D. KNEPPER

Sir:

This is a request for filing a:

- [X] continued prosecution application ("CPA") under 37 CFR 1.53(d)  
[ ] continuing application under 37 CFR 1.53(b)

of pending prior application Serial No. 09/311,333, filed on May 13, 1999 of Roger S. Zimmerman, Gary N. Tajchman, Ian S. Boardman, Helko W. Rahnel, and Thomas B. Schalk for In-the-Field Adaptation of a Large Vocabulary Automatic Speech Recognizer.

- [ ] Enclosed are copies of \*\* sheets of specification and \* drawing sheet (all of which constitutes the specification and drawing in this application) and the Declaration and Power of Attorney as filed in the parent application.

- [X] Amend the specification by inserting before the first line as a centered heading: --Cross Reference To Related Applications--; and insert below that as a new paragraph -- This is a [X] continuation [ ] divisional of application Serial No. 09/311,333, filed May 13, 1999.--

RECEIVED

- [X] An appointment of associates is enclosed.

OCT 21 2002

- [ ] As last amended, the title has been changed to Technology Center 2600

- [ ] As last amended, the name of applicant(s) has changed to

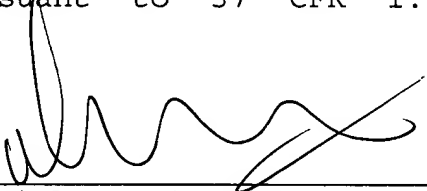
- [ ] Before calculating the filing fee, cancel in this application original claims \*\*\*\*\*.

- [X] The filing fee is calculated below:

CLAIMS AS FILED IN THIS APPLICATION					
For	Number Filed	Number Extra	Rate	Basic fee	
Total Claims	-20 =		X \$18	=	\$ 740.00
Independ. Claims	- 3 =		X \$78	=	
Mult. Dependent Claims,		if any	(\$260)	=	
Total Filing Fee-----					\$740.00

- [X] Please charge the filing fee calculated above, plus any additional fees which may be required except for the Issue Fee, or credit any overpayment to Deposit Account No. 14-1270.

- [ ] Priority of application Serial No. \*\*, filed on \*\*\* in \*\*, is claimed under 35 U.S.C. 119.
- [ ] The certified copy of the priority application was filed in prior application Serial No. \*\*\*.
- [X] This application is assigned to Voice Control Systems, Inc. by way of the assignment filed in application Serial No. 09/311,333.
- [X] Address all future communications to Corporate Patent Counsel, U.S. Philips Corporation, 580 White Plains Road, Tarrytown, New York 10591.
- [X] A preliminary amendment to this application was mailed on October 8, 2002.
- [X] Enter in this application the amendment under 37 C.F.R. 1.116 which was unentered in the prior application.
- [X] An Information Disclosure Statement is enclosed.
- [X] An Authorization Pursuant to 37 CFR 1.136(a)(3) is enclosed.

  
Daniel J. Piotrowski, Reg No. 42,079  
Senior Patent Counsel  
(914) 333-9624  
U.S. Philips Corporation  
580 White Plains Road  
Tarrytown, New York 10591

CERTIFICATE OF EXPRESS MAILING	
Express Mail Mailing Label No.	<u>EL472130765</u>
Date of Deposit	<u>October 15, 2002</u>
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
Typed Name	<u>Noemi Chapa</u>
	<u>Noemi Chapa</u> Signature